



IRONSHORE COMPANIES
175 Powder Forest Drive
Weatogue, CT 06089

**THIRD PARTY ADMINISTRATION
SUPPLEMENTAL QUESTIONNAIRE**

1. Does the Applicant, either by contract or in the course of its conduct, assist its customers in securing any type of reinsurance including but not limited to employer stop-loss coverage?

If "Yes":

- a. Are bids solicited from at least three (3) reinsurance carriers with a grade of A- or above? YES NO
- b. Is the agent soliciting the bids appropriately licensed? YES NO
- c. Does the Applicant assist the employer in completing the application for reinsurance? YES NO
- d. Does the Applicant require the employer to sign a contract stating the Applicant is not responsible or liable for the adequacy or inadequacy of the reinsurance policy or the level of reinsurance coverage selected by the employer? YES NO
- e. Is the reinsurance ever placed with the Applicant or one of its subsidiaries or related companies? YES NO
- f. Is the Applicant responsible for tracking claim experience to determine when claims should be filed with the reinsurance carrier in accordance with the policy provisions? YES NO
- g. Is the Applicant responsible for filing or reporting claims with the reinsurance carrier? YES NO
- h. Is the employer or trustee the policyholder of the reinsurance contract? YES NO
- i. Does the employer or trustee pay the reinsurance premiums directly to the reinsurer? YES NO

2. Do any of the Applicant's customers with partially or fully self-funded plans have fewer than 200 employees? YES NO

3. Does the Applicant either by contract or in the course of its conduct, assist its customers in determining an adequate level of funding for partially or fully self-funded plans? YES NO

- a. Does an outside actuary sign off on the final funding amount? YES NO
- b. Does the funding level always equal at least 125% of the anticipated covered services? YES NO
- c. Does the Applicant require the employer to sign a contract stating that the Applicant is not responsible or liable for the adequacy or inadequacy of the finding level? YES NO

4. Revenues:	<u>Last 12 Months</u>	<u>Next 12 Months (est.)</u>
a. Annual revenues generated though all TPA activities:	_____	_____
b. What percentage of TPA revenues is generated by associations or multiple employer groups?	_____	_____

Please provide a copy of:

- Standard TPA/ASO contract
- Policies and procedures regarding placement of stop-loss/reinsurance for TPA/ASO clients
- Policies and procedures regarding filing claims with stop-loss/reinsurance carriers for TPA/ASO clients
- Policies and procedures regarding paying premium for stop-loss/reinsurance carriers for TPA/ASO clients

Authorized Signature:	Title:	Date:
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