



PEMBROKE MANAGING AGENCY

Brief Summary of Whistle Blowing Policy

This is an Ethics and Compliance Hotline and is an anonymous reporting mechanism that facilitates the reporting of possible illegal, unethical, or improper conduct when the normal channels of communication have proven ineffective, or impractical under the circumstances. The hotline is available to all employees and the Company's Business Partners (including Brokers, Coverholders and Claims Adjusters). If you are unsure about where to go with your concerns, feel uncomfortable reporting through normal channels of communication, or wish to raise the issue anonymously, you should contact the Ethics and Compliance Hotline. The Hotline operates 24 hours a day, seven days a week, and is run by an independent third-party provider. You also have the right to directly approach the Financial Conduct Authority (FCA) and the Prudential Regulatory Authority (PRA), and there is no requirement to have initially raised concerns to the company directly.

Pembroke Managing Agency Ltd

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Registered in England and Wales No. 05832065

Regulated by the Prudential Regulation Authority & Financial Conduct Authority